

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

DA.00001

U.S. APPLICATION NO. (if known, see 37 CFR 1.5

09/914804

INTERNATIONAL APPLICATION NO.
PCT/JP00/09334

INTERNATIONAL FILING DATE
December 27, 2000

PRIORITY DATE CLAIMED
December 27, 1999

TITLE OF INVENTION

Rubber Coated Strands; Belt, Ply, and Tire Using Rubber Coated Strands; and Apparatus and Method for Manufacturing Them

APPLICANT(S) FOR DO/EO/US
Shigemasa TAKAGI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Postcard Acknowledging Receipt

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PCT/JP00/09334

JC03 Rec'd PCT/PTO 30 AUG 2001
CONDA.0000121. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
|---------------------------------------------|--------------|--------------|------------|----------|
| Total claims | 20 - 20 = | 0 | x \$18.00 | \$ 0.00 |
| Independent claims | 4 - 3 = | 1 | x \$80.00 | \$ 80.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | \$ |

TOTAL OF ABOVE CALCULATIONS =

\$ 1,070.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 535.00

SUBTOTAL =

\$ 535.00

Processing fee of \$130.00 for furnishing the English translation later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 130.00

TOTAL NATIONAL FEE =

\$ 665.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 665.00

Amount to be
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 665.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-0392. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Colin P. Cahoon, Esq.
Carstens, Yee & Cahoon, L.L.P.
P.O. Box 802334
Dallas, TX 75380

SIGNATURE

Colin P. Cahoon

NAME

38,836

REGISTRATION NUMBER



ONDA TECHNO

09/914804
JC03 Rec'd PCT/PTO 30 AUG 2001
Int'l Patent Attys.

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| | | | | | |
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| | | K. SAKASHITA | - | K. MATSUO | - |

* PATENT ATTORNEY ** U.S. PATENT ATTORNEY
*** ATTORNEY AT LAW - PATENT ENGINEER

August 22, 2001
Via Fax & Courier

CARSTENS, YEE & CAHOON, L.L.P.
Suite 900, 13760 Noel Road
Dallas, Texas 75240
U.S.A.

CONFIRMATION

Re: O/R: **P3P2000281US**
New U.S. Patent Application as a National
Phase of the International Application
No. PCT/JP00/09334 filed on December 27, 2000
"RUBBER COATED STRANDS; BELT, PLY, AND TIRE USING
RUBBER COATED STRANDS; AND APPARATUS AND METHOD
FOR MANUFACTURING THEM"
-FUJI SEIKO CO., LTD. (Small Entity)-

Dear Sirs:

We have been authorized by FUJI SEIKO CO., LTD. to enter
a new U.S. National Phase of the above PCT Application.

We are enclosing a sheet titled ITEMS FOR FILING from
which you should be able to obtain full information relating
to the present case. Also enclosed are:

- (X) Front Page of the WIPO Publication (WO 01/48306)
and International Search Report
- (X) Notification concerning Submission or Transmittal of
Priority Document (Form PCT/IB/304)
- (X) English Specification of the PCT application
- (X) Memorandum concerning a Preliminary Amendment
- (X) Related Art
- (X) Declaration/Power of Attorney
- (X) Assignment

If you require any other documents, please let us know.

Please note that the amendment according to PCT Article
19 was not filed in this case. In addition, in the
international phase, the International Preliminary Examination
was not requested either.

Since FUJI SEIKO CO., LTD. is a small entity, please take the necessary procedure to enable our client to receive the benefits of a small entity status.

It is our understanding that under Chapter I, the USPTO requires an English translation of the International Application to be filed within 20 months from the priority date, namely, December 27, 1999. Accordingly, this case is due by **August 27, 2001**. Please take necessary steps for filing this case with the USPTO before the due date of August 27, 2001. Also, please prepare and file the Preliminary Amendment based on the enclosed memorandum at the time of filing this case.

Please confirm your safe receipt of this letter by return facsimile. Also, please let us know as soon as you have filed this case. Thank you for your assistance in this matter.

Very Truly Yours,

Onda Techno
Intl. Patent Attys.


Kohzoh HATTORI

Encls
KH/nm

09/914804

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JC03 Rec'd PCT/PTO

30 AUG 2001
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Dallas, Texas 75240

Mailing Address
Post Office Box 802334
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August 22, 2001

Via Fax No. 011-81-58-266-8069
and Via First Class Mail

Mr. Kohzoh Hattori
ONDA TECHNO Intl. Patent Attys.
12-1 Omiya-Cho 2-Chome
Gifu City
500-8731 JAPAN

RE: U.S. National Filing for PCT/JP00/09334 filed on December 27, 2000
Rubber Coated Strands; Belt, Ply, and Tire Using Rubber Coated Strands; and
Apparatus and Method for Manufacturing Them
Your Ref. No.: P3P20000281US
Our Ref. No.: CONDA.00001

Dear Mr. Hattori:

This will acknowledge receipt of your correspondence of August 22, 2001 setting forth your request that Carstens, Yee & Cahoon, L.L.P. file a U.S. National Patent Application relating to the Japanese application referenced above. We will proceed according to your instructions.

Many thanks for entrusting this matter to our firm and for giving us the opportunity to be of service to you.

Very truly yours,



Colin P. Cahoon

CPC/rkm